

## 6. TENSOR, SYMMETRIC AND EXTERIOR ALGEBRAS

**6.1. The tensor algebra of a module.** Let  $M$  be an  $R$ -module. For each  $k \geq 1$  let  $T^k(M) = \underbrace{M \otimes_R \dots \otimes_R M}_{k \text{ times}}$  and set  $T^0(M) = R$ . Define

$$T(M) = R \oplus T^1(M) \oplus T^2(M) \oplus \dots = \bigoplus_{k=0}^{\infty} T^k(M)$$

We already have an  $R$ -module structure on  $T(M)$ . Define multiplication on  $T(M)$  by setting

$$(m_1 \otimes \dots \otimes m_i) \cdot (m'_1 \otimes \dots \otimes m'_j) = m_1 \otimes \dots \otimes m_i \otimes m'_1 \otimes \dots \otimes m'_j$$

and extending to arbitrary elements of  $T(M)$  by  $R$ -bilinearity.

That this multiplication is well defined can be proved similarly to what we did in the case of tensor products of algebra. It is easy to see that  $T(M)$  becomes an  $R$ -algebra. Furthermore, by construction  $T^i(M) \cdot T^j(M) \subseteq T^{i+j}(M)$ , so  $T(M) = \bigoplus_{k=0}^{\infty} T^k(M)$  is actually a graded  $R$ -algebra. The algebra  $T(M)$  is called the tensor algebra of  $M$ .

**Proposition 6.1** (Universal property of tensor algebras). *Let  $M$  be an  $R$ -module and  $A$  an  $R$ -algebra. Then for any  $R$ -module homomorphism  $\varphi : M \rightarrow A$  there exists unique  $R$ -algebra homomorphism  $\Phi : T(M) \rightarrow A$  s.t.  $\Phi|_M = \varphi$ .*

$$\begin{array}{ccc} M & \longrightarrow & T(M) \\ & \searrow \varphi & \downarrow \Phi \\ & & A \end{array}$$

*Proof.* Exercise (or see [DF, Theorem 31 on p.442]). □

**Proposition 6.2.** *Let  $M$  be a free  $R$ -module of rank  $n$  with basis  $e_1, \dots, e_n$ . Then*

- (a) *For any  $k \geq 1$ ,  $T^k(M)$  is a free  $R$ -module of rank  $n^k$ , and simple tensors  $e_{i_1} \otimes \dots \otimes e_{i_k}$  form a basis of  $T^k(M)$ .*
- (b)  *$T(M)$  is isomorphic to  $R\langle x_1, \dots, x_n \rangle$  (polynomials in non-commuting variables) as graded  $R$ -algebras.*

*Proof.* (a) By Example 4.3 if  $M$  is a free  $R$ -module with basis  $e_1, \dots, e_n$  and  $N$  is a free  $R$ -module with basis  $f_1, \dots, f_t$ , then  $M \otimes_R N$  is a free  $R$ -module with basis  $\{e_i \otimes f_j\}$ . The assertion of (a) follows from this by induction.

(b) Define  $\Phi : R\langle x_1, \dots, x_n \rangle \rightarrow T(M)$  by setting

$$\Phi \left( \sum r_{(i_1, \dots, i_k)} x_{i_1} \dots x_{i_k} \right) = \sum r_{(i_1, \dots, i_k)} e_{i_1} \otimes \dots \otimes e_{i_k}.$$

Then  $\Phi$  is an homomorphism of graded  $R$ -algebras (by definition of the algebra structure on  $T(M)$ ), and part (a) implies that  $\Phi$  is bijective.  $\square$

## 6.2. Symmetric and exterior algebras.

**Definition.** Let  $M$  be an  $R$ -module,  $T(M)$  its tensor algebra and  $C(M)$  the ideal of  $T(M)$  generated by elements of the form  $m_1 \otimes m_2 - m_2 \otimes m_1$  for  $m_1, m_2 \in M$ . The quotient algebra  $S(M) = T(M)/C(M)$  is called the symmetric algebra of  $M$ .

**Remark:** 1. For each  $k \geq 1$  let  $S^k(M)$  be the image of  $T^k(M)$  in  $S(M)$ . The ideal  $C(M)$  is graded since it is generated by homogeneous elements, and therefore by Proposition 5.2  $S(M)$  is also a graded algebra with grading  $S(M) = \bigoplus_{k=0}^{\infty} S^k(M)$ .

2.  $S(M)$  is a commutative  $R$ -algebra. Indeed,  $T(M)$  is generated as a ring by  $T^0(M) = R$  and  $T^1(M) = M$ , and therefore  $S(M)$  is generated as a ring by  $S^0(M)$  and  $S^1(M)$ . Note that  $S^0(M)$  lies in the center of  $S(M)$  (for  $T^0(M)$  lies in the center of  $T(M)$ ), and by construction any two elements of  $S^1(M)$  commute. Thus,  $S(M)$  is generated by a set of pairwise commuting elements, and therefore  $S(M)$  is commutative.

**Proposition 6.3.** *Let  $M$  be a free  $R$ -module of rank  $n$  with basis  $e_1, \dots, e_n$ . Then  $S(M)$  is isomorphic to  $R[x_1, \dots, x_n]$  (polynomials in commuting variables) as graded  $R$ -algebras.*

*Proof.* Exercise.  $\square$

**Definition.** Let  $M$  be an  $R$ -module,  $T(M)$  its tensor algebra and  $A(M)$  the ideal of  $T(M)$  generated by elements of the form  $m \otimes m$  for  $m \in M$ . The quotient algebra  $\bigwedge(M) = T(M)/A(M)$  is called the exterior algebra of  $M$ .

The product in  $\bigwedge(M)$  is denoted by the symbol  $\wedge$ . Thus given elements  $m_1, \dots, m_k \in M$  we denote by  $m_1 \wedge \dots \wedge m_k$  the image of  $m_1 \otimes \dots \otimes m_k$  in  $\bigwedge(M)$ .

Similarly to the case of symmetric algebras, the exterior algebra  $\bigwedge(M)$  has a natural grading  $\bigwedge(M) = \bigoplus_{k=0}^{\infty} \bigwedge^k(M)$  where  $\bigwedge^k(M)$  is the image of  $T^k(M)$  in  $\bigwedge(M)$ .

**Proposition 6.4.** *Let  $M$  be a free  $R$ -module of rank  $n$  with basis  $e_1, \dots, e_n$ . The following hold:*

- (i)  $\bigwedge(M)$  is isomorphic as an  $R$ -algebra to  $R\langle x_1, \dots, x_n \rangle / I$  where  $I$  is the ideal generated by  $\{x_i^2, x_i x_j + x_j x_i\}$ . Therefore,  $\bigwedge(M)$  has the

following presentation by generators and relations in the category of  $R$ -algebras:

$$\bigwedge(M) = \langle e_1, \dots, e_n \mid e_i \wedge e_i = 0 \text{ and } e_i \wedge e_j = -e_j \wedge e_i \text{ for } 1 \leq i, j \leq n \rangle.$$

- (ii) Let  $k \geq 1$ . Then  $\bigwedge^k(M)$  is a free  $R$ -module with basis  $\{e_{i_1} \wedge \dots \wedge e_{i_k}\}$  where  $i_1 < \dots < i_k$ . In particular,  $\text{rk}(\bigwedge^k(M)) = \binom{n}{k}$ .
- (iii)  $\bigwedge(M)$  is a finitely generated free  $R$ -module of rank  $2^n$ .

*Proof.* For (ii) see [DF, Corollary 37, p. 449], and (iii) follows from (ii) since  $\sum_{k \geq 0} \binom{n}{k} = 2^n$ . Let us prove (i).

Let  $\Phi : R\langle x_1, \dots, x_n \rangle \rightarrow T(M)$  be the isomorphism from Proposition 6.2. Then  $\bigwedge(M) \cong R\langle x_1, \dots, x_n \rangle / J$  where  $J = \Phi^{-1}(A(M))$  is the image of  $A(M)$  under  $\Phi^{-1}$ . It is clear from the definition of  $\Phi$  that  $J$  is the ideal generated by  $\{(r_1x_1 + \dots + r_nx_n)^2 : r_i \in R\}$ . We claim that  $J = I$ , for which it suffices to show that  $I$  contains generators of  $J$  and  $J$  contains generators of  $I$ .

The former is clear since

$$(r_1x_1 + \dots + r_nx_n)^2 = \sum_i r_i^2 x_i^2 + \sum_{i < j} r_i r_j (x_i x_j + x_j x_i) \in I$$

(and thus  $J \subseteq I$ ). The reverse inclusion follows from the observation that  $x_i x_j + x_j x_i = (x_i + x_j)^2 - x_i^2 - x_j^2 \in J$ .

This proves the first assertion of (i), and the second assertion (regarding the presentation by generators and relations) is simply a restatement of the first one.  $\square$

**6.3. An interesting property of exterior algebras.** Let  $M$  and  $N$  be  $R$ -modules and  $\varphi : M \rightarrow N$  an  $R$ -module homomorphism. By Proposition 6.1  $\varphi$  yields a graded  $R$ -algebra homomorphism  $\Phi : T(M) \rightarrow T(N)$  such that  $\Phi(m_1 \otimes \dots \otimes m_k) = \varphi(m_1) \otimes \dots \otimes \varphi(m_k)$ . It is easy to see that  $\Phi$  maps  $C(M)$  to  $C(N)$  and  $A(M)$  to  $A(N)$ , and thus  $\Phi$  induces graded  $R$ -algebra homomorphisms  $\Phi_{\text{sym}} : S(M) \rightarrow S(N)$  and  $\Phi_{\text{ext}} : \bigwedge(M) \rightarrow \bigwedge(N)$

Now assume that  $R$  is a field,  $M$  is vector space over  $R$  of finite dimension  $n$  and  $N = M$ , so  $\varphi : M \rightarrow M$  is an  $R$ -linear transformation. For each  $k$  we can restrict  $\Phi_{\text{ext}}$  to  $\bigwedge^k(M)$  to get an  $R$ -linear transformation

$$\Phi_{\text{ext},k} : \bigwedge^k(M) \rightarrow \bigwedge^k(M).$$

Consider the case  $k = n = \dim M$ . Since  $\dim \bigwedge^n(M) = \binom{n}{n} = 1$  by Proposition 6.4(ii), the map  $\Phi_{\text{ext},n}$  is just multiplication by some scalar  $r(\Phi) \in R$ .

**Proposition 6.5.** *The scalar  $r(\Phi)$  is equal to  $\det \varphi$ .*

*Proof.* Let us see what happens when  $n = 2$ . Let  $\{e_1, e_2\}$  be a basis of  $M$ . Then by Proposition 6.4(b)  $\bigwedge^2(M)$  is  $R$ -spanned by the element  $e_1 \wedge e_2$ .

Now let  $\varphi : M \rightarrow M$  be a linear transformation, and let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  be the matrix of  $\varphi$  with respect to  $\{e_1, e_2\}$ , so that  $\varphi(e_1) = ae_1 + ce_2$  and  $\varphi(e_2) = be_1 + de_2$ .

Then by our construction  $\Phi_{ext,2}(e_1 \wedge e_2) = (ae_1 + ce_2) \wedge (be_1 + de_2)$ , and using anticommutativity and distributivity we get

$$\begin{aligned} (ae_1 + ce_2) \wedge (be_1 + de_2) &= abe_1 \wedge e_1 + ade_1 \wedge e_2 + cbe_2 \wedge e_1 + cde_2 \wedge e_2 = \\ &= (ab - cd)e_1 \wedge e_2 = \det(\varphi)e_1 \wedge e_2. \end{aligned}$$

Generalization of this proof to arbitrary  $n$  is a homework problem.  $\square$