

## Homework #4. Due Thursday, September 21st

### Reading:

1. For this homework assignment: Friedberg-Insel-Spence 6.4, 6.5, Ben Webster's notes: lectures 8,9 + class notes (Lectures 7,8)
2. Next week we will talk about dual spaces (Friedberg-Insel-Spence 2.6 + Ben Webster's Lecture 14) and start talking about tensor products (Ben Webster's Lectures 12,13).

### Problems:

For problems (or their parts) marked with a \*, a hint is given later in the assignment. Do not to look at the hint(s) until you seriously tried to solve the problem without it.

**Note:** Problems 1 and 2 establish some fundamental facts about unitary operators that we will continuously use when talking and representations.

**0.** Let  $V$  be a finite-dimensional inner product space over  $\mathbb{C}$  and let  $A \in \mathcal{L}(V)$  be a normal operator. Prove that  $A$  is unitary if and only if all eigenvalues of  $A$  have absolute value 1.

**1.** Let  $V$  be an inner product space over  $\mathbb{C}$  and  $A \in GL(V)$ , that is,  $A \in \mathcal{L}(V)$  is invertible.

(a) Prove that  $A$  is unitary if and only if  $\langle Ax, Ay \rangle = \langle x, y \rangle$  for all  $x, y \in V$ .

(b)\* Now use (a) to prove that  $A$  is unitary if and only if  $\|Ax\| = \|x\|$  for all  $x \in V$ .

**2.** Let  $V$  be an inner product space over  $\mathbb{C}$ , let  $A \in \mathcal{L}(V)$  be unitary, and let  $W \subseteq V$  be a *finite-dimensional* subspace of  $V$  which is  $A$ -invariant (that is,  $A(W) \subseteq W$ ).

(a) Prove that if  $A(W) = W$ .

(b) Use (a) to prove that  $W^\perp$  is also  $A$ -invariant.

**3.** Let  $A = \begin{pmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{pmatrix}$ . Find a unitary matrix  $U$  such that  $U^{-1}AU$  is diagonal (see the online version of Lecture 8 for a brief discussion of the

algorithm for finding  $U$ ). Try to determine the eigenvalues of  $A$  without computing the characteristic polynomial.

4. Let  $V$  be a finite-dimensional inner product space over  $\mathbb{C}$  and let  $H$  and  $G$  be Hermitian forms on  $V$ .

- (a)\* Assume that  $G$  is positive-definite. Prove that there exists a basis  $\beta$  of  $V$  such that  $[H]_\beta$  and  $[G]_\beta$  are both diagonal (equivalently, if  $A, B \in Mat_n(\mathbb{C})$  are Hermitian matrices and  $A$  is positive definite, there exists  $P \in GL_n(\mathbb{C})$  such that  $P^*AP$  and  $P^*BP$  are both diagonal).
- (b) (bonus) Now give an explicit example showing that if neither  $G$  nor  $H$  is positive-definite, the conclusion of (a) may fail.

5.

- (a)\* Let  $A \in Mat_n(\mathbb{C})$  be arbitrary. Prove that there exists a unitary matrix  $U$  such that  $U^{-1}AU = U^*AU$  is upper-triangular.
- (b)\* Use (a) to give a short proof of Theorem 8.5 from class in the case when  $A$  is Hermitian or unitary (without referring to Theorem 7.2)

**Hint for 1(b):** One direction is straightforward. For the other direction use the result of an earlier homework problem.

**Hint for 4:** The result follows from one of the theorems from class with almost no additional computations (but it may take some work to figure out which result to use).

**Hint for 5(a):** Reformulate the result in terms of operators and imitate the proof of Theorem 7.2 (there are many steps in that proof that are irrelevant for this problem).

**Hint for 5(b):** If  $A$  is Hermitian or unitary, what can you say about  $U^{-1}AU$  for unitary  $U$ ? Once you answer this question, show that if  $A$  is Hermitian or unitary and  $U$  is unitary such that  $U^{-1}AU$  is upper-triangular, then  $U^{-1}AU$  must actually be diagonal.