

**Homework #9. Due Friday, November 9th, by 1pm**

**Reading:**

1. For this homework assignment: Equicontinuity and compactness in function spaces (4.3 in Pugh, 7.6 in Rudin and Lectures 17 and 18).
2. For next week's classes: Stone-Weierstrass Theorem (4.4 in Pugh and 7.7 in Rudin)

**Problems:**

1. Let  $a, b \in \mathbb{R}$  with  $a < b$ , and let  $\{f_n\}$  be a sequence of differentiable functions from  $[a, b]$  to  $\mathbb{R}$ . Suppose that both the sequences  $\{f_n\}$  and  $\{f'_n\}$  are uniformly bounded. Prove that the sequence  $\{f_n\}$  is equicontinuous (and hence has a uniformly convergent subsequence).
2. The goal of this problem is to show that the statement of the Arzela-Ascoli theorem may be false if the domain is not totally bounded.

(a) Consider functions  $f_n : \mathbb{R} \rightarrow \mathbb{R}$  given by  $f_n(x) = \begin{cases} \frac{|x|}{n} & \text{if } |x| \leq n \\ 1 & \text{if } |x| > n \end{cases}$

Prove that the sequence  $\{f_n\}$  is uniformly bounded and equicontinuous, but does not have a uniformly convergent subsequence. Deduce that Arzela-Ascoli Theorem does not hold for  $X = \mathbb{R}$ .

- (b)\* (bonus) Now let  $(X, d)$  be any unbounded metric space. Show that there exists a sequence of continuous functions  $f_n : X \rightarrow \mathbb{R}$  which is uniformly bounded and equicontinuous, but does not have a uniformly convergent subsequence.

**3\***. Pugh, problem 9 on p. 264.

**4\***. Pugh, problem 14 on p. 264

**5.** Let  $(X, d)$  be a metric space, and let  $(B(X), d_{unif})$  be the metric space of all bounded functions  $f : X \rightarrow \mathbb{R}$  with uniform metric:

$$d_{unif}(f, g) = \sup_{x \in X} |f(x) - g(x)|.$$

Let  $\mathcal{F} \subseteq B(X)$ . Let (P) be one of the three properties: pointwise bounded, uniformly bounded and equicontinuous. Prove that if  $\mathcal{F}$  has (P), then its closure  $\overline{\mathcal{F}}$  also has (P). (You need to give three different proofs, one for each property).

2

**Hint for 2(b):** You can construct such a sequence using functions of the form  $f(x) = d(x, a)$  (for a fixed  $a \in X$ ).

**Hint for 3:** The answer is very easy to state and slightly harder to prove. For the proof use the fact that  $f(x) - f(y) = f_n(\frac{x}{n}) - f_n(\frac{y}{n})$  for all  $x, y \in \mathbb{R}$  and all  $n \in \mathbb{N}$ .

**Hint for 4:** Prove the backward direction by contrapositive. First prove that a metric space  $(X, d)$  is NOT chain connected if and only if there exist  $\delta > 0$  and non-empty  $A$  and  $B$  such that  $X = A \sqcup B$  and  $d(a, b) \geq \delta$  for all  $a \in A, b \in B$ . Once you know that  $X$  has the latter property, it is easy to construct an equicontinuous sequence of functions which is bounded at one point and not bounded at some other point.